|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **TOOL** | **Who has authority to use this tool?** | **Is this tool appropriate?** | **How often has the tool been used? What was result?** | **How would it be used?** | **Who will use it?** | **When should it be used?** |
|   | State | PPQ | Other *(specify)* | *[Consider tools as part of a continuum moving from compliance to enforcement]* |   |   | *[Consider factors such as length of time, severity of issue, jurisdictional roles/issues, etc.]* |
| Compliance Outreach and Education |   |   |   |   |   |   |   |   |
| Compliance Assistance Visit |   |   |   |   |   |   |   |   |
| Compliance Verification |   |   |   |   |   |   |   |   |
| Letter of information |   |   |   |   |   |   |   |   |
| Issue Letter of Warning |   |   |   |   |   |   |   |   |
| Issue Rejection Notice |   |   |   |   |   |   |   |   |
| Stop sale |   |   |   |   |   |   |   |   |
| Seize, Treat, or Destroy |   |   |   |   |   |   |   |   |
| Issue Emergency Action Notification |   |   |   |   |   |   |   |   |
| **TOOL** | **Who has authority to use this tool?** | **Is this tool appropriate?** | **How often has the tool been used? What was result?** | **How would it be used?** | **Who will use it?** | **When should it be used?** |
|   | State | PPQ | Other *(specify)* | *[Consider tools as part of a continuum moving from compliance to enforcement]*  |   |   |   |
| Deny Application for a Permit or Compliance Agreement |  |  |  |  |  |  |  |  |
| Suspend or Revoke Compliance Agreement |   |   |   |   |   |   |   |   |
| Suspend or Revoke Permit |   |   |   |   |   |   |   |   |
| Suspend or Revoke License |   |   |   |   |   |   |   |   |
| Pursue Civil Penalties |   |   |   |   |   |   |   |   |
| Court Injunction/Cease & Desist Order |   |   |   |   |   |   |   |   |
| Criminal charges |   |   |   |   |   |   |   |   |
| Alternative Penalties\* |   |   |   |   |   |   |   |   |

\* Alternative penalties are sometimes sought in lieu of or in addition to civil or criminal penalties. Examples include measures such as making facility improvements, training employees, or changing business practices to address risk.