|  |
| --- |
| PPQ Strategic Initiative Report: PPQ-NPB Strategic Alliance: Enforcement and Compliance |
| August 20, 2015 | **PPQ Strategic Plan Alignment** | PPQ Strategic Plan Alignment: Driving Forces (p. 3); Goal 2, Objectives 2.1 and 2.2 (p. 8). |
| **Champion:** Paula Henstridge, PPQ and Geir Friisoe, NPB | **Project Goal**  | Improve compliance with regulatory requirements in federal‐state programs and build an understanding with one another and awareness among stakeholders of our respective roles in achieving compliance and safeguarding goals. |
| **Project Manager:** Paula Henstridge, PPQ and Dana Rhodes, NPB | **Performance Goals** | Establishment of a process for use by SPHDs and SPROs to promote a state-specific strategy for effective and efficient compliance and enforcement efforts. |
| Project Update |
| **Achievements (past 90 days)** Key activities and completed milestones since the last report.* Tested the draft compliance & enforcement action matrix, outreach and communications template, and discussion guidance with states represented on the working group initially, then expanded the test to four additional states.
* Scheduled a call for December 1 to check progress of pilot states and document their feedback.
* Established a SharePoint site, but need to refine process as it requires level-1 e-authentication.
 |
| **Next Steps (next 90 days)** Key activities to be completed in the next 90 days.* Evaluate feedback on the action matrix and outreach template and refine as necessary.
* Develop plan to communicate availability of tools to remaining states.
* Begin discussion on developing criteria to determine when State vs. Federal authority would be applied for civil/criminal investigations.
 |
| **Challenges:** Factors that could delay achievement of key activities or significant milestones in the next 90 days.]* SharePoint site requires e-authentication and will require more oversight than initially planned.
 |